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Patent Electronic Application Filing and Management Systems

Office of Patent Information Management

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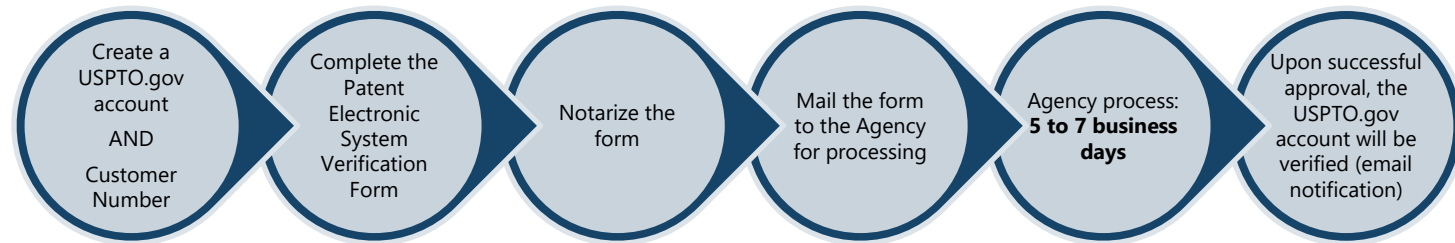


Agenda

- Getting started
- Electronic Filing Benefits and Processes
- Customer Number
- eOffice Action
- DOCX
- Patent Center Filing in DOCX
- Financial Manager
- Assistance resources

Getting started: USPTO.gov accounts

- Verified USPTO.gov accounts uniquely identify you and allows secure access to your patent data. Follow the new verification process.



- www.uspto.gov/patents-application-process/applying-online/getting-started-new-users

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- If you don't already have a USPTO.gov account, just follow these simple steps:
 - Visit the [MyUSPTO login](#)
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The new uspto.gov accounts are designed for individuals, not groups or organizations. Future updates will add the ability for organizations to share information between colleagues.

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Find answers to frequently asked questions about the features and benefits of a new USPTO.gov account.

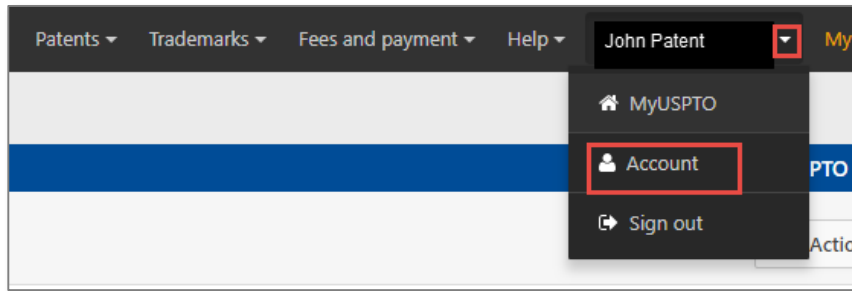
Frequently asked questions

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- Sign in to your USPTO.gov account at <https://my.uspto.gov>.
- From the top right drop-down menu under your name, select "account."



Set up two-step authentication

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- Scroll to bottom of page and select save.

Two-step authentication

Some sections of uspto.gov require a two-step authentication to confirm your identity. This provides an extra layer of security to your account.

Select the default of your second level of authentication.

☒ Email jpatent1@patentcenter.com

6-digit code sent to email lasts for twenty minutes.

☐ Code generator (Authenticator app)

6-digit code generated by authenticator app. One-time code required. Your authenticator app must be set up before using this option.

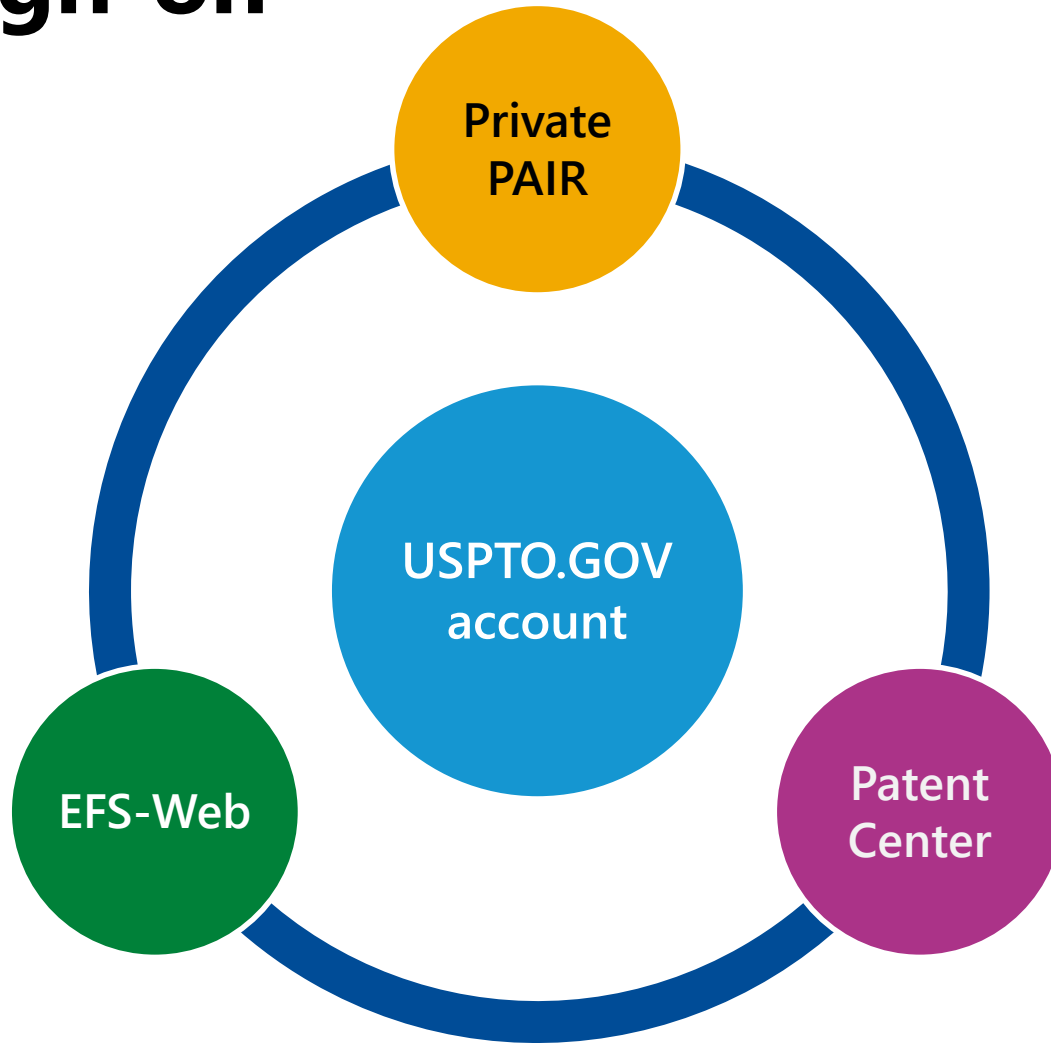
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Note: Not all sites are currently configured to accept the authentication code, therefore, you may not be prompted even if you opted in.

NOTE: You are able to change your choice (choose another option besides your default) upon each sign-in that prompts for the second factor.

Single sign-on



Patent Center

Patent Center is the Next Generation System that will replace EFS-Web and PAIR for filing and managing patent applications.

Patent Center features a unified, user-friendly interface and will eventually incorporate all the capabilities of EFS-Web, PAIR, and much more.

Fall 2019 Patent Center limited beta release

- System: Next Gen
- Participants: external (via registration)
- Invitee-only training sessions

Early 2020 Patent Center beta release open to public

- Anyone may join the beta
- Open training sessions
- Incorporate additional features based on user feedback and stakeholder interests

Mid-2020 Continued refinement of Patent Center beta

- Gather and review external input from stakeholders
- Continue to add functionalities found in EFS-Web, Public PAIR, and Private PAIR
- Continue to improve back end systems integration

2020-2021+ Patent Center with full functionality

- Continue support of Patent Center beta and EFS-Web, Public PAIR, and Private PAIR
- Refine previous features
- Finish incorporating functionality found in EFS-Web, Public PAIR, and Private PAIR
- Start to prepare for the decommission of EFS-Web, Public PAIR, and Private PAIR together or separately as each component achieves full functionality in Patent Center

2022-2023 Decommission EFS- Web, Public PAIR, and Private PAIR

- Decommission EFS-Web, Public PAIR, and Private PAIR together or separately as each component achieves full functionality in Patent Center
- Continue to add new functionality based on user feedback

Patent Center overview

Replaces legacy patent application systems (EFS-Web, Public PAIR, Private PAIR) for filing and managing patent applications

Single interface

- Features unified and intuitive interface for filing and managing patent applications
- Single search bar for retrieving applications

Recent technology

- Sponsorships carry over from EFS-Web and PAIR to Patent Center
- Updated infrastructure allows for more efficient USPTO system integration
- Enables increased functionality and overall system usefulness

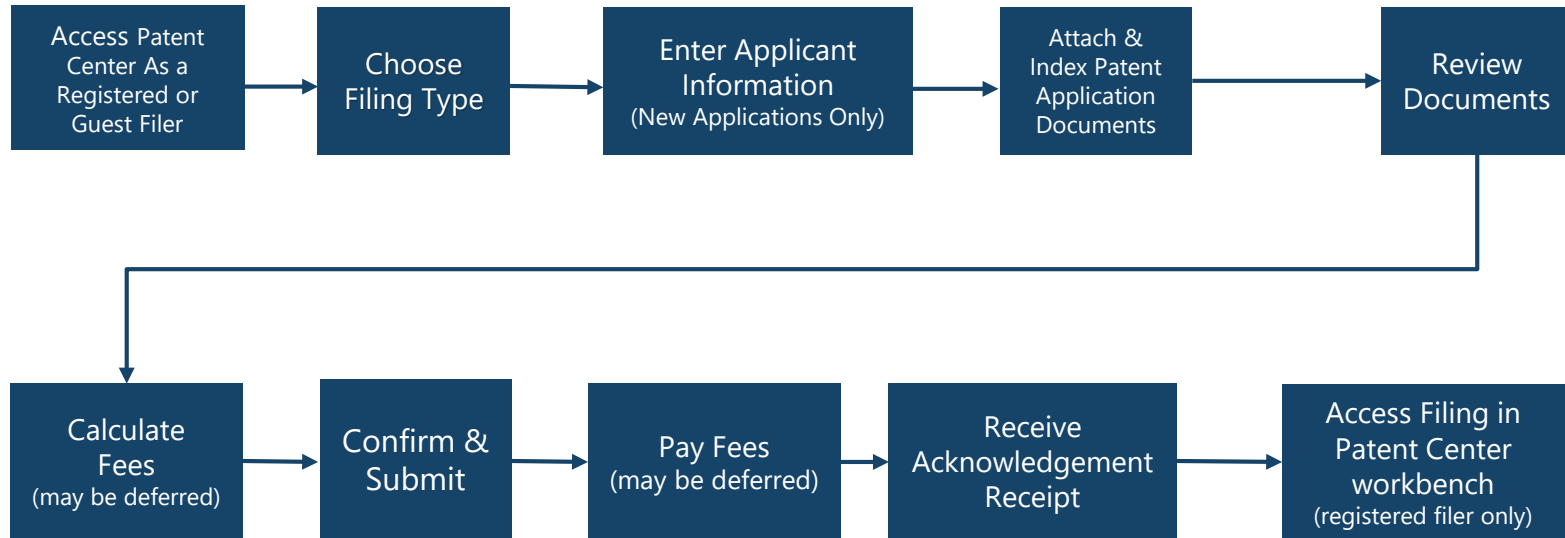
Authentication

- Use your USPTO.gov account that is used to access EFS-Web and Private PAIR
- Role-based access
 - Practitioner
 - Support Staff
 - Independent Inventor
 - Guest

Electronic filing benefits

- Immediately routes documents to USPTO internal systems
- Generates Acknowledgement Receipt to show that the USPTO has received the submission
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- Processes faster by avoiding wait times associated with conventional mailing
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Electronic filing process



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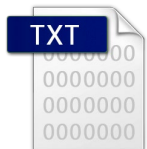
PDF files

General documents



TEXT

Bio-sequence listings,
computer code, etc.



JPEG files

Hague
reproductions
(drawings)



DOCX

Initial applications
(specification,
claims, abstract, in EFS-Web; additionally
drawings in Patent Center)



PCT-SAFE zip files

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 - www.uspto.gov/patents-application-process/applying-online/getting-started-new-users

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- The eOffice Action program is designed to notify applicants, via email, when a new USPTO communication is available.
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- A word processing file format based on open standards, including Extensible Markup Language (XML)
- Supported by many popular word processing applications, such as Microsoft Word 2007 or higher, Google Docs, Office Online, LibreOffice, and Pages for Mac

DOCX benefits

- **Secure formatting**
 - Metadata detection and scrubbing (e.g., author, company, last modified by, comments, bookmarks)
- **Increases application quality**
 - Generates feedback document pinpointing warnings and errors
- **Saves time**
 - Detects and splits multiple sections found in a single file
 - Automated document code assignment

Non-DOCX surcharge

- Starting January 1, 2023, a surcharge up to \$400 will apply when filing a new non-provisional utility application* in PDF format

* Applies to the specification, claims, and abstract when filed in PDF format. Please Visit the [Summary of Final Patent Fee Rules](#)

- Drawings are accepted in DOCX format but may still be submitted in PDF format with no surcharge.
- Surcharge does not apply to the following application types –provisional, design, plant, and PCT applications.

A detailed technical drawing of a mechanical assembly, likely an engine or motor, is shown in a light gray, semi-transparent style. The drawing includes various components, surfaces, and features, many of which are labeled with numerical identifiers such as 80, 84, 86, 82, 24, 30, 34, 32, 52, 50, and 54. The drawing is oriented horizontally and serves as a background for the title text.

Patent Center Filing in DOCX

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Filing DOCX in Patent Center vs EFS-Web

DOCX filing is available in both Patent Center and EFS-Web with some key differences

Patent Center	EFS-Web
Expanded DOCX functionality	Limited DOCX functionality
Filers can file non-provisional utility, provisional, and national stage application types; follow-ons on the same day	Filers can only file non-provisional utility applications
File multi-section (specification, claims, abstract, drawings) in a single document for Patent Center to automatically detect and assign document codes	Filers must manually split documents into separate single-section documents prior to upload
New technology will allow for future enhancements such as submission of amendments and responses	Only specification, claims, abstract may be uploaded in DOCX
Drag and drop to upload documents	No drag and drop feature

Patent Center dashboard

Patent Center

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Search by application number, patent number, PCT number, publication number or international design registration number.

Application # ▾

Search



Activities

Perform activities from submissions to post grant request and admin tasks such as managing customer numbers & practitioner associations with customer number

New Submission

File utility, design, national stage and international submissions



Existing Submissions

Add additional documents, pay fees, submit a corrected ADS, and much more



Petitions

File ePetitions for automatic processing and immediate grant, if all requirements are met



Post Grant

Perform post grant activities such as a request to reissue, or a request for reexamination



Workbench

View your saved submissions, applications, and correspondence



Manage

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NOTE: For information on the Paperwork Reduction Act as it pertains to: ePetitions and Web-based application data sheets, please see the [OMB Clearance](#) and [PRA Burden Statement](#) page.

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☐ Text message (XXX-XXX-[redacted])

☐ Phone call (XXX-XXX-[redacted])

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Select a method for filing an ADS. Changing the filing method will overwrite any unsaved application data.

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- Generate a streamlined Application Data Sheet
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Select

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- Upload a USPTO fillable [AIA/14 Application Data Sheet](#)
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Upload ADS

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Complete ADS later:

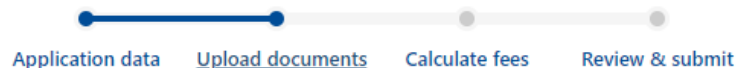
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Utility Nonprovisional

Nonprovisional Application under 35 USC 111(a)



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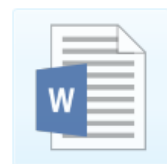
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 multi-section.docx (157 KB) 
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- ⚠
- The specification paragraph numbering is not consecutive. Please review and revise if necessary.
 - Duplicate paragraph numbering has been detected. Please review and revise if necessary.
 - At least one claim appears to not end with a period. Please review and revise if necessary.
 - The claims appear to contain an improper dependency with at least one claim that depends on itself. Please review and revise if necessary.
 - The claims appear to contain an improper dependency with at least one claim that does not depend on a previous claim. Please review and revise if necessary.
 - Word count in abstract section is over 150 words.

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 multi-section-APP.TEXT.docx (157 KB / 4 pages)  **Feedback document** Application body structured text document


Feedback document

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 The system detected multiple sections within the document. The individual sections may be downloaded after the submission by going to the respective application's IFW.
Detected: **Specification, Claims, Abstract, Drawings**

**Application sections are
detected**

Feedback summary and specification feedback

BRIEF SUMMARY:

FIELD OF THE INVENTION

[0001] The present invention relates to an access control system and method for controlling access to a resource.

BACKGROUND OF THE INVENTION

[0002] Various techniques have been employed over the years to encourage use of conventional office equipment (e.g., video photography) for the monitoring of handwriting and similar physical instruments. One technique is to equip photographers with the capability to recognize handwritten documents and, upon such recognition, double as input-capturing. The term physical instruments as used in this specification includes handwriters, multifaceted instruments and other forms of suitable instruments which require authentication.

[0003] [These](#) well appreciated offer some literature against counterfeiting, they offer some useful guidelines and limitations. The present invention adds, through its various embodiments, to reduce some of these drawbacks and to provide additional functionality not previously available.


[illegible]

Specification

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Feedback Summary:

- Independent claim count = 1
- Dependent claim count = 3

 **Error** • The page size of this document exceeds Letter or A4. Please correct the page size before proceeding.

Warnings (6) • The specification paragraph numbering is not in the proper format.

- At least one claim number appears to be missing or out of order.
- The claims appear to contain duplicate claim numbering. (2 Instances)
- The claims appear to contain an improper dependency with at least one claim that depends on itself.
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Specification paragraph numbering should contain at least four numerals.

Claims feedback

Claims

1. A financial instrument comprising: [REDACTED]
[REDACTED]
[REDACTED]

2. An article as defined in claim 1, [REDACTED]
[REDACTED]

3. An article as defined in claim 3 [REDACTED]
[REDACTED]

3. An article as defined in claim 1, [REDACTED]
[REDACTED]



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Independent claim



USPTO

Warning

This claim appears to contain a claim with an improper dependency with at least one claim that does not depend on a previous claim.



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Warning

This claim appears to contain an improper dependency with at least one claim that depends on itself.



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Warning

The claims appear to contain duplicate claim numbering.



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Warning

The claims appear to contain duplicate claim numbering.



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Warning

This claim number appears to be missing or out of order.

Abstract feedback

Abstract

This is an example of an abstract of the invention. In this abstract example we will exceed 150 words. Since MPEP section 608.01(b) recommends that the number of words in the abstract be within the range of 50-100 words, this is a useful detection provided by our USPTO DOCX system. After detection of the abstract of the invention, the number of words are validated. Upon uploading the abstract, the user is immediately notified if they have exceeded this amount and a feedback document is provided to the user pre-submission. Upon opening the feedback document, a message indicated that the number of words in the abstract has been exceeded will be shown. The user may desire to delete, modify and upload the abstract again. The user will be able to easily identify where the number of words are exceeded since the system will indicate the exact location of the extra words. Here are the extra words.



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Continuity
Patent Term Adjustment
Foreign Priority
Fee Payment History
Address & Attorney/Agent Information
Supplemental Content
Assignments
Display References

Application #	Attorney Docket #	Patent #	Status	Filing or 371 (c) date
59/[redacted]	- Edit		Docketed New Case - Ready for Examination - 08/13/2019	01/01/2018

Estimated time to first action is 8 months. View / Print first action letter

Application type	Utility	Earliest publication #	-	Intl. registration # (Hague)	-
Examiner	[redacted]	Earliest publication date	-	Intl. registration publication date	-
Group art unit	OPA	Assignee for publication	-		
Class/subclass	118/[redacted]	Confirmation #	2492		
AIA (first inventor to file)	Yes				
Entity status	Regular Undiscounted				

Correspondence address	Inventors	Applicants
59 - [redacted] Oct 5 2020 [redacted] 600 DULANYSTREET Alexandria, VA UNITED STATES	Data not available	[redacted] Royal Palm Beach (US)

DOCX–multi-section split documents and XML retrieval

Documents Transactions

Mail room date	Doc code	Doc description	Pages		Quick download
06/27/2019	N417	EFS Acknowledgment Receipt	2		PDF
06/27/2019	SPEC	Specification	29		DOCX / PDF / XML
06/27/2019	ABST	Abstract	1		DOCX / PDF / XML
06/27/2019	CLM	Claims	3		DOCX / PDF / XML
06/27/2019	APP.TEXT	New Application in Text Format	33		DOCX

2 selected

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1000 per pagePage 1 of 1

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Application #	Attorney docket #	Patent #	Customer #	Doc. code	Doc. description	Mailing date	Image date	eNotification date	Quick download	
16	Q2	-	23	M903	Notice of DO/EO Acceptance Mailed	04/12/2021	04/09/2021		Preview PDF	<input type="checkbox"/>
15	00	-	76	M903	Notice of DO/EO Acceptance Mailed	04/12/2021	04/09/2021		Preview PDF	<input type="checkbox"/>
15	SH	-	36	M903	Notice of DO/EO Acceptance Mailed	04/12/2021	04/09/2021		Preview PDF	<input type="checkbox"/>
15	19	-	25	M903	Notice of DO/EO Acceptance Mailed	04/12/2021	04/09/2021		Preview PDF	<input type="checkbox"/>
15	11	-	22	M903	Notice of DO/EO Acceptance Mailed	04/12/2021	04/09/2021		Preview PDF	<input type="checkbox"/>
15	90	-	60	M903	Notice of DO/EO Acceptance Mailed	04/12/2021	04/09/2021		Preview PDF	<input type="checkbox"/>
15	61	-	22	M903	Notice of DO/EO Acceptance Mailed	04/12/2021	04/09/2021		Preview PDF	<input type="checkbox"/>
15	81	-	10	M903	Notice of DO/EO Acceptance Mailed	04/12/2021	04/09/2021		Preview PDF	<input type="checkbox"/>
15	82	-	10	M903	Notice of DO/EO Acceptance Mailed	04/12/2021	04/09/2021		Preview PDF	<input type="checkbox"/>
15	42	-	23	M903	Notice of DO/EO Acceptance Mailed	04/12/2021	04/09/2021		Preview PDF	<input type="checkbox"/>
29	10	-	76	NOA	Notice of Allowance and Fees Due (PTOL-85)	03/23/2021	04/09/2021		Preview DOCX / PDF / PNG / XML	<input type="checkbox"/>
16	01	-	33	NOA	Notice of Allowance and Fees Due (PTOL-85)	04/09/2021	04/09/2021		Preview PDF	<input type="checkbox"/>
16	07	-	13	CTNF	Non-Final Rejection	04/09/2021	04/09/2021		Preview DOCX / PDF / PNG / XML	<input type="checkbox"/>
16	07	-	13	CTNF	Non-Final Rejection	04/09/2021	04/09/2021		Preview DOCX / PDF / XML	<input type="checkbox"/>

Financial Manager sign-in

You can either sign in with a MyUSPTO account or pay as a guest (paying as a guest limits options to payment by credit/debit card only)

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Pay as a guest

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2

Financial Manager pay fees

Payment

Order Details ⓘ

TOTAL
\$400.00

Sale Item Reference #	Attorney Docket #	Fee Code	Item Price	Qty	Item Total
59957730		3011	\$70.00	1	\$70.00
59957730		3111	\$150.00	1	\$150.00
59957730		3311	\$180.00	1	\$180.00

Payment Information

Approved for use through 1/31/2018. [CMR 0851-0043](#)

Required fields *

Payment Options ⓘ ☒ Credit/Debit Card

Card Number *

VISA

MasterCard

Discover

AMERICAN EXPRESS

Card Security Code *

Name on Card *

Expiration Date*

Enter/Edit Billing Address

Address Line 1 *

Address Line 2

Country *

United States

City *

State / Region *

Zip / Postal Code *

Why can't I add a fee preauthorization to this order?

Submit Payment

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 - www.uspto.gov/patents-application-process/checking-application-status/e-office-action-program
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Questions



